



Docket No. 5000-5287

PCF  
PK

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s): Tomoko UTSUMI, et al.

Serial No.: 10/560,568

International (PCT)  
Application No.: PCT/JP04/04130

International  
Filing Date: March 24, 2004

For: ELECTROLUMINESCENCE DEVICE, MANUFACTURING METHOD  
THEREOF, AND LIQUID CRYSTAL DISPLAY DEVICE USING THE  
ELECTROLUMINESCENCE DEVICE

**RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In response to the NOTIFICATION OF MISSING REQUIREMENTS dated March 1, 2006, Applicants submit herewith the following documents for appropriate action by the U.S. Patent and Trademark Office:

- ☒ Copy of Notification of Missing Requirements (2 pages);
- ☒ Executed Declaration and Power of Attorney (6 pages);
- ☒ Assignment Recordation Form Cover Sheet (1 page, in duplicate);
- ☒ Executed Assignment Document (2 pages);
- ☒ Please charge the required fee of \$130.00 to deposit account no. 13-4500, Order No. 5000-5287.
- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required by this paper, or credit any overpayment to Deposit Account No. 13-4500, Order No. 5000-5287. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.

03/24/2006 MAYPACH 00000139 134500 10550560

01 FC:1617 130.00 DA

Respectfully submitted,  
MORGAN & FINNEGAN, L.L.P.

Dated: March 17, 2006

By: Steven F. Meyer

Steven F. Meyer  
Reg. No. 35,613

Correspondence Address:  
MORGAN & FINNEGAN, L.L.P.  
3 World Financial Center  
New York, NY 10281-2101  
(212) 415-8700 Telephone  
(212) 415-8701 Facsimile



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s): Tomoko UTSUMI, et al.

Serial No.: 10/560,568

International (PCT)  
Application No.: PCT/JP04/04130

International  
Filing Date: March 24, 2004

For: ELECTROLUMINESCENCE DEVICE, MANUFACTURING METHOD  
THEREOF, AND LIQUID CRYSTAL DISPLAY DEVICE USING THE  
ELECTROLUMINESCENCE DEVICE

**CERTIFICATE OF MAILING (37 C.F.R. §1.8(A))**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

I hereby certify that the attached:

1. Response to Notification of Missing Requirements (1 page, in duplicate);
2. Copy of Notification of Missing Requirements (2 pages);
3. Executed Declaration and Power of Attorney (6 pages);
4. Assignment Recordation Form Cover Sheet (1 page, in duplicate);
5. Executed Assignment Document (2 pages);
6. Return Receipt Postcard

along with any paper(s) referred to as being attached or enclosed and this Certificate of Mailing are being deposited with the United States Postal Service on date shown below with sufficient postage as first-class mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Respectfully submitted,  
MORGAN & FINNEGAN, L.L.P.

Dated: March 17, 2006

By: Steven F. Meyer

Steven F. Meyer  
Registration No. 35,613

**Correspondence Address:**

MORGAN & FINNEGAN, L.L.P.  
3 World Financial Center  
New York, NY 10281-2101  
(212) 415-8700 Telephone  
(212) 415-8701 Facsimile



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

|                             |                       |                  |
|-----------------------------|-----------------------|------------------|
| U.S. APPLICATION NUMBER NO. | FIRST NAMED APPLICANT | ATTY. DOCKET NO. |
| 10/560,568                  | Tomoko Utsumi         | 5000-5287        |

|                               |
|-------------------------------|
| INTERNATIONAL APPLICATION NO. |
|-------------------------------|

PCT/JP04/04130

|                  |               |
|------------------|---------------|
| I.A. FILING DATE | PRIORITY DATE |
| 03/24/2004       | 06/13/2003    |

27123

MORGAN & FINNEGAN, L.L.P.  
3 WORLD FINANCIAL CENTER  
NEW YORK, NY 10281-2101

CONFIRMATION NO. 6665

371 FORMALITIES LETTER



\*OC000000018155499\*

Case 5000-5287 Atty Sam  
Due Date 5-1-06  
Status, Date 10-1-06  
By am

Date Mailed: 03/01/2006 ✓

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 12/12/2005
- English Translation of the IA filed on 12/12/2005
- Copy of the International Search Report filed on 12/12/2005
- Copy of IPE Report filed on 12/12/2005
- Information Disclosure Statements filed on 12/12/2005
- Request for Immediate Examination filed on 12/12/2005
- U.S. Basic National Fees filed on 12/12/2005
- Priority Documents filed on 12/12/2005

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

## SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:

REVIEWED BY AUDIT DEPT.  
DATE 3/12 BY C

103-3 10/560,568/2005 1/1

- \$130 Surcharge.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

CHARITTA A BURT

Telephone: (703) 308-9140 EXT 207

**PART 1 - ATTORNEY/APPLICANT COPY**

| U.S. APPLICATION NUMBER NO. | INTERNATIONAL APPLICATION NO. | ATTY. DOCKET NO. |
|-----------------------------|-------------------------------|------------------|
| 10/560,568                  | PCT/JP04/04130                | 5000-5287        |